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RECEIPT
PATENT
Attorney Docket No. JDSU-009

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Scott Andrew Merritt

SERIAL NO.: 09/848,096

GROUP NO.: 2633

FILING DATE: May 3, 2001

EXAMINER: Dzung Tran

TITLE: TANDEM FILTERS FOR REDUCING INTERSYMBOL
INTERFERENCE IN OPTICAL COMMUNICATIONS
SYSTEMS

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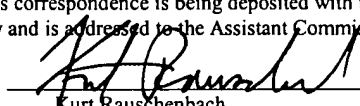
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Date of Deposit: April 16, 2002


Kurt Rauschenbach

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Sir:

Applicant respectfully requests that the Official Filing Receipt for the above-referenced patent application be corrected in accordance with the marked-up copy of the Filing Receipt provided herewith. In the title, "Tandum" should be corrected to read --Tandem--.

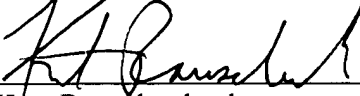
FEE AUTHORIZATION

Should any fee associated with the submission of this paper not be attached hereto as a check, the Commissioner is authorized to charge the missing fee to our Deposit Account, No. 501211. Any overpayments should be credited to said Deposit Account.

Date: April 16, 2002
Reg. No. 40,137

Tel. No.: (781) 271-1503
Fax No.: (781) 271-1527

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Kurt Rauschenbach", is written over a horizontal line.

Kurt Rauschenbach
Atty/Agent for Applicant(s)
Law Office of Kurt Rauschenbach
Post Office Box 387
Bedford, MA 01730



Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
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| <h1>TRANSMITTAL FORM</h1> <p>(to be used for all correspondence after initial filing)</p> | Application Number | 09/848,096 | |
| | Filing Date | 5/3/2001 | |
| | First Named Inventor | Scott Andrew Merritt | |
| | Group Art Unit | 2633 | |
| | Examiner Name | Dzung Tran | |
| Total Number of Pages in This Submission | 7 | Attorney Docket Number | JDSU-009 |

| ENCLOSURES (check all that apply) | | |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Request For Corrected Filing Receipt; Marked-Up Copy of Filing Receipt; and Postcard Receipt. |
| Remarks | | |

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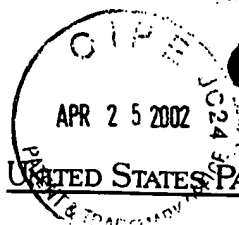
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| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|-------------------|
| Firm or Individual name | Kurt Rauschenbach |
| Signature | |
| Date | April 16, 2002 |

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| Signature | | Date | April 16, 2002 |

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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/848,096 | 05/03/2001 | 2633 | 836 | JDSU-009 | 5 | 27 | 3 |

CONFIRMATION NO. 7089

23701
LAW OFFICES OF KURT RAUSCHENBACH
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FILING RECEIPT



OC00000006234209

Date Mailed: 06/27/2001

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Applicant(s)

Scott Andrew Merritt, Pinner, UNITED KINGDOM;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 06/27/2001

Projected Publication Date: 11/07/2002

Non-Publication Request: No

Early Publication Request: No

Title

Tandum filters for reducing intersymbol interference in optical communications systems
Tanden

Preliminary Class

359

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Bib Data Sheet

CONFIRMATION NO. 7089

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|---|---|---|---|--|--------------------------------|
| SERIAL NUMBER 09/848,096 | FILING DATE 05/03/2001 RULE | CLASS 359 | GROUP ART UNIT 2633 | ATTORNEY DOCKET NO. JDSU-009 | |
| APPLICANTS Scott Andrew Merritt, Pinner, UNITED KINGDOM; ** CONTINUING DATA ***** ** FOREIGN APPLICATIONS ***** | | | | | |
| IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 06/27/2001 | | | | | |
| Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after allowance Verified and Acknowledged <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Examiner's Signature: <i>[Signature]</i> Initials: | | STATE OR COUNTRY UNITED KINGDOM | SHEETS DRAWING 5 | TOTAL CLAIMS 27 | INDEPENDENT CLAIMS 3 |
| ADDRESS 23701 | | | | | |
| TITLE Tandem filters for reducing intersymbol interference in optical communications systems | | | | | |
| FILING FEE RECEIVED 836 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: | | <input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit | | |